

08-05-02 2183 #5  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): VIRGINIA GOSS TUSHER, ROBERT TIBSHIRAN, GILBERT CHU  
Assignee: THE BOARD OF TRUSTEES OF THE LELAND STANFORD  
JUNIOR UNIVERSITY  
Title: SIGNIFICANCE ANALYSIS OF MICROARRAYS  
Application No.: 09/811,762 Filing Date: March 19, 2001  
Examiner: Unknown Group Art Unit: 2183  
Docket No.: M-10523 US

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INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.97(b)

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying form PTO-1449 are called to the attention of the Examiner for the above patent application. Copies of these documents are enclosed.

Citation of these documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;

2. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

EXPRESS MAIL LABEL NO:

EV029355623US

Respectfully submitted,

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U.S. Department of Commerce, Patent and Trademark Office					Atty Docket No.		Serial No.	
					M-10523 US		09/811,762	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)					Applicant(s)			
					VIRGINIA GOSS TUSHER ET AL.			
					Filing Date		Group	
					March 19, 2001		2183	
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	A1	5,840,484	11/24/98	Seilhamer et al.	435	6		
	A2	6,114,114	9/5/00	Seilhamer et al.	435	6		
Foreign Patent Documents								
							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	B1	WO 00/71756A1	11/30/00	International	—	—		
	B2	WO 00/28091	5/18/00	International	—	—		
	B3	WO 99/58720	11/18/99	International	—	—		
	B4	EP0935210A2	8/11/99	Europe	—	—		
	B5	WO 97/10365	3/20/97	International	—	—		
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	C1	International Search Report mailed August 31, 2001.						
	C2	"Distinct types of diffuse large B-cell lymphoma identified by gene expression profiling," A. Alizadeh et al., <i>Nature</i> , Vol. 403, February 3, 2000, pp. 503-511,						
	C3	"Cluster analysis and display of genome-wide expression patterns," M.B. Eisen et al., <i>Proc. Natl. Acad. Sci. USA</i> , Vol. 95, December 1998, pp. 14863-14868,						
	C4	"Ploidy Regulation of Gene Expression," T. Galitski et al., <i>Science</i> , Vol. 285, July 9, 1999, pp. 251-254,						
	C5	"Signaling and Circuitry of Multiple MAPK Pathways Revealed by a Matrix of Global Gene Expression Profiles," C. J. Roberts et al., <i>Science</i> , Vol. 287, February 4, 2000, pp. 873-880,						
	C6	"An Informative-Intensive Approach to the Molecular Pharmacology of Cancer," J.N. Weinstein et al., <i>Science</i> , Vol. 275, January 17, 1997, pp. 343-349,						
Examiner			Date Considered					
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.								

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	AB								
Foreign Patent Documents									
								Translation	
		Document	Date	Country	Class	Subclass	Yes	No	
	B6	EP 0848067 A2	6/17/98	Europe	—	—			
	B7	EP 0717113 A2	6/19/96	Europe	—	—			
	B8	WO 99/60450	11/25/99	International	—	—			
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)									
	C7	Dialog Search dated March 21, 2000							
	C8	Dialog Search dated April 6, 2000							
	C9	Dialog Search dated April 11, 2000							
	C10	Dialog Search dated April 4, 2000							
	C11	Dialog Search dated April 4, 2000							
	C12	"Molecular Classification of Cancer: Class Discovery and Class Prediction by Gene Expression Monitoring," T.R. Golub et al., <i>Science</i> , Vol. 286, October 15, 1999, pp. 531-537.							
Examiner			Date Considered						
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